## **Graphical Abstract**

# Odorant molecular feature mining by diverse deep neural networks for prediction of odor perception categories

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# ₅ Highlights

- Odorant molecular feature mining by diverse deep neural networks for prediction of odor
   7 perception categories
- <sup>8</sup> Liang Shang, Chuanjun Liu, Fengzhen Tang, Bin Chen, Lianqing Liu, Kenshi Hayashi
- Different deep neural networks were used to predict categorized odor descriptors.
- End-to-end-based representation learning was performed for molecular feature extraction.
- Molecular graphs with pre-training of convolution neural networks was most accurate.

# Odorant molecular feature mining by diverse deep neural networks for prediction of odor perception categories

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#### ARTICLE INFO

Keywords: Odor sensory category Structure odor relationship Odorant molecules structure features extraction Machine learning Recognition and classification

## ABSTRACT

The prediction of structure-odor-relationship (SOR) by deep neural networks (DNN) via the structural features of odorants has attracted great attention during the past decade. Due to the limited knowledge on binding mechanism between odorant molecules and olfactory receptors, however, it is not sure what kind of structural features play the most important role in smell recognition. In this work, diverse deep neural networks, including molecular parameters neural network (MPNN), molecular graphic convolution neural network (MG-CNN), molecular graph transformer neural network (MGTNN) and atom interaction neural network (AINN), were used to extract the structure features of odorant molecules and to predict the categorized odor perception. We optimized all of the models via parameter tuning, and evaluated and compared their performance using a database containing 2849 odorants and their corresponding odor sensory category labels. The experimental results demonstrated that an MG-CNN (pre-trainedResNet) combined with a multi-label DNN classifier produced the best results, with an area under the receiver operating characteristic curve and F1 score of  $0.877 \pm 0.028$  and 0.726±0.028, respectively. This is the first systematic study for molecular structure features extracted by different deep neural network and their predictive effect for SOR. We believe that these insights regarding the use of DNN-based odorant molecular feature extraction for odor sensory identification will be useful for introducing biologically interpretable artificial intelligence into olfactometry, and thus contribute to our understanding of the mechanisms underlying human olfaction.

## 12 1. Introduction

Identifying the interactions between odorants and sen-13 sory descriptions is important for the discovery and analy-14 sis of volatile compounds. To obtain the sensory informa-15 tion of odor, gas chromatography/olfactometry (GC/O) has 16 been widely applied as a powerful odor analytic strategy 17 in various research areas, such as agriculture, food, and 18 environmental science [1-3]. Although GC/O can be used 19 to attain accurate sensory and chemical characteristics of 20 odorants, this approach is expensive and time-consuming, 21 which can limit its application. The main expense associated 22 with GC/O is the cost of hiring and training panelists to 23 characterize the sensory qualities of odorants using their 24 sense of smell. In addition, the sensory assessment of human 25 panelists is personally dependent, which inevitably leads to 26 subjectivity and inconsistency into the evaluation results. 27

Research on the response patterns of neurons in the
olfactory bulbs (OB) has illuminated the mechanisms underlying biological olfaction [4–6]. However, many questions
remain, such as why these molecules smell different from

mantic descriptors, known as odor descriptors (ODs). These 33 questions may be answered by examining structure odor 34 relationships (SORs), the development of which presents 35 a difficult and interesting challenge [7-9]. During recent 36 years, many researches have been conducted to predict odor 37 perception of odorants using vairous parameters, such as 38 electronic or physicochemical characteristics [10, 11], mass 39 spectrometry (MS) [12, 13], and social network interactions 40 [14]. Additionally, novel methods, such as odor-based social 41 networks [15-17], machine learning (ML) [18-20], deep 42 neural network (DNN) models [21-24], and semantic-based 43 approaches [25] have been developed to calibrate models 44 that express the relationships between odorants and ODs. 45 These studies have demonstrated the possibility to use data-46 driven approaches to solve the SOR problems. In line with 47 this trend, we have proposed a concept of ML-based GC/MS 48 olfactometry in which the sensory evaluation of panelists 49 is expected to be replaced by machine learning prediction 50 models [26]. 51

one another and why we link smell feelings with certain se-

In the ML-based GC/O system, the molecular information obtained by MS analysis of the individual GC peaks is transfered into physicochemical parameters by molecular calculation software (DRAGON). By building models appropriately, the ODs of the odorants can be predicted with high accuracy form their physicochemical parameters. The

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ML-based GC/O is a concept-of-proof study and there are 58 many problems to be solved before its practical application. 59 For example, in terms of the sample size, only limited 60 ODs with high frequency of occurrence are targeted in our 61 models. In reality, it has been reported that over 500 ODs 62 are used for odor evaluation [27]. Therefore, many ODs, 63 especially for those rare ODs are not considered by the 64 models. In oder to solve this issue, we have recently proposed 65 a categorization approach based on the semantic analysis 66 of ODs, which make the model can cover the prediction of 67 several hundreds of ODs [28]. Another remaining problem 68 is that the model prediction is based on physicochemical 69 parameters of odorants. The recent development of com-70 putational chemistry and ML make it possible to obtain 71 various molecular structure information [29]. Unlike tradi-72 tional methods, DNNs can directly learn latent presentations 73 from molecular structures, such as atom types and their 74 positions, via back-propagation [30]. Moreover, an end-to-75 end strategy has been proposed as an effective nonlinear 76 modeling method for learning molecular presentation in 77 many fields, such as quantum chemical properties predic-78 tion, and compound-protein interaction identification [31]. 79 However, since detailed binding mechanism between the 80 odorants and olfactory receptors is still not fully understood, 81 which molecular features play the most important role in 82 olfactory perception has not been cleared. 83

The purpose of this study is dedicated to molecular 84 feature mining by diverse DNNs for prediction of odor 85 perception categories. Please note that our work is not to 86 propose some novel classification frameworks, but using 87 multi-type of feature extractors to understand the relation-88 ship between the structure features of odorants and odor 89 perception categories. A schematic of the data processing 90 and modeling procedures is illustrated in Fig. 1. We used 91 molecular structures, including 2D molecular images and 92 atom spatial locations, to predict odor sensory categories. 93 For minding useful information from molecular structures, ٥л some molecular structural feature extraction methods were 95 empoyed and their results were compared and discussed. 06 Specifically, we used a molecular graphic convolution neu-97 ral network (MG-CNN) and molecular graph transformer 98 neural network (MGTNN) to extract features from repre-99 sentations of molecular structure, such as molecular struc-100 ture images and topology graphs. Moreover, we used atom 101 interaction neural networks (AINNs) to generate features 102 from the spatial structures of atoms. To overcome limita-103 tions related to insufficient samples, we also considered pretrained models, such as pre-trained CNNs and MGTNNs. 105 We compared the feasibility of SOR prediction via molecu-106 lar fingerprints, molecular parameters, and molecular feature 107 extraction based on MG-CNN, MGTNN, and AINN. We 108 achieved the highest performance by applying molecular 109 features extracted via a pre-trained MG-CNN combined 110 with a mulit-label learning model (area under the ROC 111 curve: 0.877±0.028 and F1 score: 0.726±0.028). Thus, the 112 proposed odor sensory category identification model is a 113

feasible option for developing artificial intelligence (AI)based GC/O. Although it would be still a challenge to replace human assessors by ML models perfectly, the proposed method could provide references for assessors to increase the efficiency for odor analysis. Therefore, the limitation of odor memory would be breaked through by the odor perception categories recommendated by the proposed method.

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### 2. Materials and methods

### 2.1. Data collection and preparation

To create an odor category prediction model, we col-123 lected an extensive dataset containing 2849 odorants. We 124 used information from publicly available databases such 125 as the Odor Map database [32], Flavors and Fragrances 126 database (Sigma-Aldrich) [33], and the Good Scents database 127 [34]. As illustrated in Fig. 1, we collected and prepared 5 128 types molecular descriptors, including molecular parame-129 ters, molecular fingerprints, molecular graphs, simplified 130 molecular input line entry system (SMILES), and atom coor-131 dinates. Specifically, we used SMILES and RDKit Software 132 (ver. 2021.03.1) [35, 36] to collect normalized molecular 133 parameters (1826, detailed information can be found at [37]), 134 molecular fingerprints (binary, 2048 bits), and 2D molecular 135 graphs (RGB, 300×300 pixels). We used RDKit to obtain the 136 2D and 3D atom coordinates of the odorants, which we used 137 to train the model regarding the atomic interactions between 138 odorant structures and their odor sensory categories. In 139 total, 256 ODs were clustered into 20 categories using a 140 co-occurrence Bayesian embedding method. More detailed 141 information regarding the cleaning and categorization of 142 ODs can be found in Table S1 [28]. Data were processed 143 and analyzed using Python (ver. 3.9.0) and R (ver. 4.1.1). 144 Because the diverse molecular representations of odorants, 145 we need to employ various of feature extraction technologies 146 to obtain embeddings for model calibration. 147

#### 2.2. Model calibration

The calibration and validation process for the odor cat-149 egory model is shown in Fig. 1. First, all samples were 150 divided via random splitting into training and test sets with 151 a 4:1 ratio, and the reported results are averaged over 50 152 repetitions. Afterward, we employed molecular parameters, 153 molecular fingerprints, molecular graphic features, molec-154 ular graph transformers, and atomic interaction embedding 155 to extract the molecular features of the odorants (Fig. 2). We 156 used a DNN approach to develop a multi-label odor category 157 learning model (the output size was 20) based on the afore-158 mentioned molecular information. The cost function  $\mathcal{L}(\Theta)$  of 159 the DNN multi-label classifier was calculated by summing 160 the binary cross-entropy of each class, which was defined as 161 follows: 162

$$\mathcal{L}(\mathbf{\Theta}) = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{M} y_{i,j} \times \log(\hat{y}_{i,j}) + (1 - y_{i,j}) \times \log(1 - \hat{y}_{i,j})$$
(1)

where  $\Theta$  indicates the parameter set of the model, N 163 indicates the sample number in the training set, and M164 indicates the number of odor categories, set as 20 in the 165 present study.  $y_{i,i}$  and  $\hat{y}_{i,i}$  are the ground truth and prediction 166 label for category *j* of sample *i*, respectively. By minimizing 167 the cost function based on the stochastic steepest gradient de-168 scent algorithm, parameters from the DNN could be learned 169 and updated. For each DNN method, the number of hidden 170 layers and nodes was selected from 1 to 8 layers and {16, 32, 171 64, 128, 256, 512, 1024, 2048 | nodes, respectively. Network 172 parameters, including the dropout ratio, learning rate, and 173 training epoch, were set as 0.1,  $1 \times 10^{-4}$ , and 200, respec-174 tively. The optimal models were determined according to 175 their areas under the ROC curve (AUC) and F1 scores based 176 on precision and recall, simultaneously. Both qualitative and 177 quantitative data analyses were performed. 178

Because molecular graphs, molecular SMILES sequences, 179 and atom coordinates are not tabular data, they cannot be 180 used as classifier inputs directly. Therefore, diverse fea-181 ture extraction methods were firstly employed to convert 182 those unstructured data to tabular features. Specifically, pre-183 trained CNNs, sequence transformer, and atomic interaction 184 embedding were utilized for moelcular graphs, SMILES 185 sequences, and atom coordinates features extraction, re-186 spectively. Detail information of above-mentioned strategies 187 were summarized as fllows. 18



Figure 1: Data processing for calibration and validation of an odor category prediction model.

#### **2.3.** Molecular graphic feature extraction

CNNs are highly successful graphic feature extractors, 190 and are commonly developed with high accuracy by large 191 training datasets [38]. Given the utility of convolution ker-192 nels and DNNs, CNNs have played a critical role in image 193 and video processing [39]. Recently, molecular graph em-194 bedding has been used to model the relationships between 195 chemical compounds [31]. To investigate the feasibility of 196 molecular graphic presentation for odor category prediction, 197 we used 4 types of effective CNNs, including the VGG-198 16, Restnet, Densnet, and Alexnet, as feature extractors for 199 generating embedding from molecular images in the present 200

study (Fig. 2a). Detailed structures for these CNNs have been previously presented [40–43]. In the present study, we used pre-trained CNN models for odor category prediction to overcome the limitation of sample size. 204

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#### 2.4. Molecular sequence feature extraction

As an end-to-end supervised learning algorithm, graph 206 neural networks (GNNs) have been widely applied for se-207 quence embedding in various fields [44]. Given that odor-208 ants can be described as molecular topology graphs us-209 ing SMILES, we considered GNNs to be appropriate for 210 molecular presentation. Broadly speaking, graph transform-211 ers are considered to be a powerful tool for handling molec-212 ular presentation through encoding via SMILES, which has 213 been used to predict compound protein interactions, virtual 214 screening, and molecular parameters [45]. 215

Although the molecular graph transformer neural net-216 work (MGTNN) has strong potential for molecular model-217 ing, deep learning models always require a large amount 218 of labeled data for training [46]. To overcome the above 219 problems, we used a self-supervised graph transformer 220 (GROVER) to obtain presentation information from the 221 odorants for odor category prediction. A briefly description 222 of the GROVER is given in Fig. 2b. The pre-training archi-223 tecture was mainly composed of two parts: i) a transformer-224 based neural network, and ii) a GNN for molecular structure 225 extraction [46]. The input of the model was an odorant graph 226 presentation  $\mathcal{G} = (V, E)$ , where V was the set of atoms and 227 E was the set of bonds. Specifically,  $v_i \in V$  and  $e_{i,i} \in E$ 228 were the *i*-th atom and bond between the *i*-th and *j*-th atom, 229 respectively. The GNN was designed to embed extraction 230 according to queries (Q), keys (K), and values (V) from the 231 atoms in molecular graphs (G). The message transmission 232 process of the GNN, as well as the neighborhood aggression 233 between an atom (v) and its neighbors ( $\mathcal{N}_v$ ) in an odorant 234 (G), were adopted to iteratively (L) update hidden states  $(\mathbf{h}_{n})$ 235 for atom v, which can be written as: 236

where  $\boldsymbol{m}_{v}^{(l,k)}$  indicates the passing message for atom v 237 under the k-th step of the l-th iteration. Here, we suppose 238 each iteration (l) contains  $K_l$  steps. Aggregate<sup>(l)</sup>(·) is an 239 aggregation function, which can be selected from the mean, 240 max pooling, or graph attention mechanism.  $\sigma(\cdot)$  is the 241 activation function, and  $h_C$  is the graph-level representation 242 generated by a Readout operation. The resulting matrices 243 (Q, K, V) were fed to the transformer module, which was 244 composed of graph multi-head attention blocks: 245

MultiHead(
$$Q, K, V$$
) = concat(head<sub>1</sub>, head<sub>2</sub>, ..., head<sub>k</sub>) $W^{O}$   
head<sub>i</sub> = Attention( $QW_{i}^{Q}, KW_{i}^{K}, VW_{i}^{V}$ )  
Attention( $Q, K, V$ ) = softmax( $QK^{T}/\sqrt{d}$ ) $V$ 

#### Odorant molecular feature mining by DNNs for odor perception categories prediction



Figure 2: Overview of the design of molecular feature extractors, including (a) a molecular graphic convolution neural network (MG-CNN), (b) molecular graph transformer neural network (MGT-NN), and (c) atom interaction neural network (AINN).

(3)

where  $W_i^Q$ ,  $W_i^K$ ,  $W_i^V$  are the projection matrices of head<sub>i</sub>. *d* indicates the dimension of *q* and *k*.

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The self-supervised learning tasks in the present study 248 were assigned as contextual property prediction, and graph-249 level motifs, as well as molecular components, were used 250 to predict links between both nodes and edges. In summary, 251 we employed a pre-trained model, calibrated with 10 million 252 molecules, as a molecular topology feature extractor in the 253 present study. Instances of atom embedding (2048 dimen-254 sions) and bond embedding (2048 dimensions) generated by 255 the above-mentioned procedure were used for odor category 256

prediction. This simple strategy has been demonstrated to be a powerful method in terms of graph expression and structure information extraction [47]. Details regarding graph transformers can be found elsewhere [48]. 260

#### 2.5. Atomic interaction embedding

Numerous studies have confirmed that atom interactions are crucial to odor perception [49, 50]. Consequently, molecular features generated by atomic interactions may be feasible for odor category prediction. In the present study, we modeled interactions between atoms in an odorant molecule using a DNN-based model as a molecular feature extractor. A brief description of the process for the AINN is given 268

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in Fig. 2c. Formally, given an odorant  $\mathcal{O} = \{(a_i, c_i)\}_{i=1}^{\mathcal{N}_{atom}}$ , 269 where  $a_i$  is the *i*-th atom type,  $c_i \in \mathbb{R}^2 or \mathbb{R}^3$  is the coordinate 270 vector of the *i*-th atom, and  $N_{atom}$  is the total number of 271 atoms for the odorant. To obtain an atom embedding descrip-272 tion for the odorant,  $\mathcal{V}_{\mathcal{O}} = \{(\boldsymbol{v}_i, \boldsymbol{c}_i)\}_{i=1}^{\mathcal{N}_{atom}}$ , where  $\boldsymbol{v}_i \in \mathbb{R}^d$  is the embedded vector for the *i*-th atom. The embedding 273 274 dimensionality d is a hyper-parameter that must be assigned 275 before training, and these atom embeddings were initialized 27 randomly and optimized via back propagation. To select 277 the update strategy for the above-mentioned embeddings, 278 we referred to the previous use of DNNs with common 279 graph-structured datasets [51, 52]. Accordingly, the atom 280 embeddings were updated as follows: 281

$$\mathbf{v}_{i}^{(l+1)} = f(\mathbf{v}_{i}^{l}) + \sum_{j \in \mathcal{O} \setminus i} g(\mathbf{v}_{j}^{(l)}, P_{i,j}^{(l)}, \alpha_{i,j}^{(l)}) 
 P_{i,j}^{(l)} = f(\mathbf{v}_{i}^{(l)}, \mathbf{v}_{j}^{(l)}, dist_{i,j}) 
 \alpha_{i,j}^{(l)} = f(\mathbf{v}_{i}^{(l)}, \mathbf{v}_{j}^{(l)}, dist_{i,j}) 
 dist_{i,j} = ||\mathbf{c}_{i} - \mathbf{c}_{j}||$$
(4)

where  $f(\cdot)$  and  $g(\cdot)$  were the neural networks.  $P_{i,j}^{(l)} \in \mathbb{R}$ and  $\alpha_{i,j}^{(l)} \in \mathbb{R}$  indicate the potential volume and interaction factor between the *i*-th and *j*-th atoms at the *l*-th hidden layer, respectively.  $dist_{i,j} \in \mathbb{R}$  was the Euclidean distance between the *i*-th and *j*-th atoms. Thus, the atom interaction embeddings for the odorant  $(\mathbf{x}_{\mathcal{O}} \in \mathbb{R}^d)$  could be calculated by:

$$\mathbf{x}_{\mathcal{O}} = \text{Aggregate}(\{\boldsymbol{v}_i\}_{i=1}^{\mathcal{N}_{atom}})$$
(5)

where Aggregate(·) was the aggregate function, which was mean pooling in the present study. As an option, we added a residual part to prevent the vanishing gradient problem in the DNN (res-AINN), which could be defined as follows:

$$\boldsymbol{v}_{i}^{(l+1)} = Norm(f(\boldsymbol{v}_{i}^{l}) + \sum_{j \in \mathcal{O} \setminus i} g(\boldsymbol{v}_{j}^{(l)}, P_{i,j}^{(l)}, \alpha_{i,j}^{(l)}) + \boldsymbol{v}_{i}^{l})$$
(6)

Finally, the odorant embeddings  $X_{\mathcal{O}} = \{x_{\mathcal{O}_i}\}_{i=1}^{\mathcal{N}_{sample}}$ 294 were selected as inputs for subsequent models. In this study, 295 the hyper-parameters L, dimensions of embeddings d, learn-296 ing rate  $\eta$ , and learning epochs were selected as 6, {32, 297 64, 128, 256, 512, 1024}, 0.001, and 200, respectively. We 298 considered the feasibility of using molecular 2D and 3D 299 coordinates, and discussed the embedding results. Detailed 300 information regarding atom interaction embedding can be 301 found in other publications [29]. 302

#### **303 3.** Results and discussion

#### 304 3.1. Data analysis

We employed five different molecular structure representations, including odorant molecular parameters (MP),

molecular fingerprints (FP), pre-trained molecular graphic 307 embeddings, pre-trained molecular graph transformer em-308 beddings, and atom interaction embeddings in the present 309 study. First, we visualized the numeric vectors in low-310 dimensional space using Barnes-Hut t-distributed stochastic 311 neighbor embedding (t-SNE) as an unsupervised statistical 312 method. This method has been widely applied for high-313 dimensional data visualization [53]. The t-SNE presentation 314 of the odorants based on the above-mentioned vectors is 315 illustrated in Fig. 3 and Fig. S1. As reported in previous 316 studies, each odorant contained multi-odor category labels 317 [15, 16, 26, 28, 30]. Therefore, the distribution of odor 318 categories was visualized using colors representing alpha 319 values. Molecular graphic features extracted by Restnet (Fig. 320 3c) produced a better result than other molecular features 321 because most odorants from the same odor category are 322 clustered together. In contrast, odor cluster overlapping was 323 observed more frequently in the t-SNE map generated from 324 molecular fingerprints (Fig. 3a), molecular parameters (Fig. 325 3b), and molecular graph transformers (Fig. 3d). Molecular 326 graphs generated from four combined types of pre-trained 327 CNNs produced competitive results compared with other 328 molecular descriptors (Fig. S1). This result demonstrates 329 that odor categories are likely to be more strongly related 330 to molecular graphs than other descriptors. Therefore, we 331 inferred that an odor category identification model based on 332 molecular graphic features would be superior. 333

# **3.2.** Molecular graphic CNN-based feature analysis

Fig. 4 and Table S2 summarize the performance met-336 rics of the odor category identification model based on 337 molecular graphic feature extraction. The details of model 338 calibration, including the optimal epochs, training loss, 339 and elapsed time, are illustrated in Table 1 and Fig. S2. 340 The pre-trained RestNet with DNNs (6 hidden layers) per-341 formed significantly better than the other models, with 342 the highest AUC ( $0.877 \pm 0.028$ , p<0.001) and F1 score 343  $(0.725\pm0.0278, p<0.001)$  on the test sets. It was followed by 344 the DenseNet (4 hidden layers, AUC 0.876±0.029, F1 score 345 0.716±0.035), VGG (6 hidden layers, AUC 0.875±0.028, 346 F1 score 0.716±0.033), and AlexNet (5 hidden layers, AUC 347  $0.873 \pm 0.029$ , F1 score  $0.723 \pm 0.033$ ). The deep residual 348 framework of the most successful model may have overcome 349 the degradation problem that affects deep networks [42]. 350 In addition, the number of hidden layers in the DNN did 351 not play a necessary role in tuning the pre-trained CNN 352 model. To verify the abilities of the models for transfer 353 learning, we compared the prediction performances of the 354 CNNs depending on whether they were pre-trained. These 355 results are illustrated in Fig. 4. We found that the pre-356 trained models had significantly (p<0.001) higher accuracy 357 compared with the models with un-trained CNNs. This 358 indicates that CNNs could learn universal image feature 359 extractors through training with a large dataset (ImageNet). 360 This conclusion is supported by previous research [54]. 361

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Figure 3: Odorant clustering using Barnes-Hut t-distributed stochastic neighbor embedding (t-SNE) based on (a) molecular fingerprints, (b) molecular parameters, and (c) molecular graphic features extracted via a pre-trained Restnet and (d) molecular graph transformer method based on the links between atoms and bonds. tSNE-1 and tSNE-2 were calculated using the t-SNE method. Each point indicates an odorant, colored according to its odor category labels, and the distributions of odor categories are given by the alpha values corresponding to the colors.

# 362 3.3. Molecular graph transformer based feature analysis

A summary of the identification accuracy of the MGTNN 364 models is given in Fig. 5 and Table S3. The optimal training 365 epoch, loss, and elapsed time for the MGTNN models are 366 presented in Table 1 and Fig. S3. When the selected atom 367 and bond embeddings were included with 7 hidden layers, 368 the MGTNN model had the highest AUC  $(0.813 \pm 0.035)$ 36 and F1 score  $(0.696 \pm 0.032)$  in the test set. In addition, the 370 AUC values for the models independently trained via atom 371 or bond embeddings were  $0.812\pm0.031$  and  $0.810\pm0.030$ , 372

respectively. However, the data were not sufficient to conclude that considering atoms and bonds together produced a significantly more accurate result than when they were included individually (p>0.001). 376

#### 3.4. Atom interaction-based feature analysis

Fig. 6 and Table S4 compares odor sensory category378identification according to the molecular features extracted379by AINNs. The results indicated that the AINN-DNN model380(2D, 512 embedded dimensions) had the highest identifica-<br/>tion performance in terms of the AUC and F1 score, which381



Figure 4: Identification performance of four molecular graphic convolution neural network (MG-CNN) models: AlexNet, VGG, DenseNet, and ResNet. The models were evaluated according to the average identification AUC (a), precision (b), recall (c), and F1 score (d). Results were evaluated using the nonparametric Wilcoxon signed-rank test.

Models

DenseNet

Pre-trained DenseNet

Pre-trained VGG

were 0.807±0.035 (p<0.01) and 0.696±0.023, respectively. However, we cannot claim that the molecular 2D coordinates because the analyses for both had a high p-value. Furthermore, the models with residual modules did not exhibit a significant increase, likely because the vanishing gradient is not the critical obstacle limiting AINN performance. In

Pre-trained AlexNet

VĠG

0.65 0.65

0.55

AlexNet

addition, the dimension of atom embedding vectors did not have a significant effect on the accuracy of odor category identification. The optimal training epoch, loss, and elapsed time for AINN models are listed in Table 1 and Fig. S4. We found that the modeling time for 2D coordinates was significantly smaller than that for 3D coordinates (p<0.001).

ResNet

Hidden layers C

Pre-trained ResNet

븜 3 븜 5



Figure 5: Determination of odor category by molecular graph transformer neural network (MGTNN) models for atom embeddings only, bond embeddings only, and combinations thereof. Models were evaluated according to the average identification AUC (a), precision (b), recall (c), and F1 score (d). Results were subjected to the nonparametric Wilcoxon signed-rank test.

This suggests that the presented AINN models do not need spatial embedding for odor sensory identification.

#### **398 3.5.** Performance comparison

To identify the model with the best comprehensive 399 performance for odor category identification, we compared 400 the five types of models in terms of performance metrics, as 401 presented in Fig. 7 and Table 2. Table 1 and Fig. S5 illustrates 402 the optimal training epoch, loss, and elapsed time for the 403 above-mentioned models. The predicted accuracies for each 40 odor sensory category are summarized in Fig. S6-S10. 405 The results confirmed that the model trained using molec-406 ular graphic features extracted via a pre-trained ResNet 407 had significantly better performance than the other models 408 (AUC  $0.877 \pm 0.028$ , F1 score  $0.726 \pm 0.028$ , p<0.0001), 409 followed by the AINN-DNN (AUC 0.807±0.035, F1 score 410  $0.696\pm0.030$ , MPs (AUC  $0.806\pm0.033$ , F1 score  $0.689\pm0.031$ 411 MGTNN (AUC 0.804±0.028, F1 score 0.692±0.029), and 412 FPs (AUC 0.796±0.036, F1 score 0.688±0.033). This rank-413 ing could likely be explained by the high correlation between 414 the olfactory sensory information and the molecular graphic 415 features of the odorants compared with the other molecular 416 descriptors. We found that the AINN-DNN model had the highest precision  $(0.861\pm0.038, p<0.0001)$ . We confirmed 418 that that although more epochs were needed to train the 419 ResNet models, the training time was shorter than that for 420 the AINN-DNN and MGTNN models. The fast convergence 421 speed could contribute to the transfer-learning mechanism. 422 Although the number of parameters was abundant for the 423

ResNet model, we did not train these parameters, but instead 121 used those from the pre-trained models. The pre-trained 425 models could overcome the limitation of insufficient samples 426 for training DNN models. A similar conclusion was found 427 previously [55]. In summary, we suggest that an end-to-428 end DNN with molecular graphic features extracted via a 429 pre-trained ResNet is an optimal model for predicting the 430 sensory categories of odorants. 431

#### 3.6. Discussion

The accurate and effective prediction of odor sensory 433 categories is vital for developing machine-learning-based 434 GC/O. To develop an olfaction-based sensory system, we 435 need not only bio-sensors to encode odorants (odor receptor 436 imitation), but also a brain-like odor signal decoding algo-437 rithm. Although many studies have examined SORs, most 438 have focused on predicting ODs [15, 16, 18, 21, 31]. Even 439 though most ODs can be predicted, infrequent ODs were 440 difficult to be identified. For excample, Snitz proposed a 441 mostly perfect result in prediciting 64 smell percepts with 442 100 % precision and 102 smells with 90.35 %, but infre-443 quent smells, such as almond, apricot and chocolate, had 444 been found to have poor prediction performance [16]. This 445 could be explained by the extreme imbalance in the data 446 distribution, as well as the insufficient number of training 447 samples. Unlike the above-mentioned studies, we want to 448 find useful odorant structure features for odor sensory cat-449 egories indentification. 450



Figure 6: Results for odor category determined by atom interaction neural network (AINN) models. The models were evaluated according to the average identification area under the curve (AUC) (a), precision (b), recall (c), and F1 score (d). The data were subjected to a nonparametric Wilcoxon signed-rank test.

Table 1Modeling and training parameters for DNN models calibration.

Model name	Input dimension	Hidden layers	Training epoch	Loss	Elapsed time (s)
FP-based	2048	6	83±42.3	0.00891±0.000942	32.5±16.6
MP-based	1000	6	91.8±46.1	0.00975±0.000528	$36 \pm 18$
MG-CNN-based	512	6	$128 \pm 42.6$	0.00672±0.000803	51.7±17.3
MGT-NN-based	4096	7	$105 \pm 49.9$	$0.0100 \pm 0.000469$	74.2±42.3
AINN-based	512	6	$59\pm 25.2$	$0.0107 \pm 0.000622$	$117 \pm 53.4$



**Figure 7**: Identification accuracies of DNN models using molecular features extracted via FP-, MP-, MG-CNN-, MGTNN-, and AINN-based DNN models. The data were evaluated using the nonparametric Wilcoxon signed-rank test.

#### Table 2

Odor sensory category identification accuracy comparison of DNN models using multi-type of odorant structure features.

Model name	AUC-ROC	Precision	Recall	F1 score
FP-based	0.796 <u>+</u> 0.036	0.82 <u>+</u> 0.046	0.662 <u>+</u> 0.029	0.688±0.033
MP-based	0.806 <u>+</u> 0.033	0.843 <u>+</u> 0.039	0.658 <u>+</u> 0.028	0.689 <u>+</u> 0.031
MG-CNN-based	0.877 <u>+</u> 0.028	0.822 <u>+</u> 0.037	0.71 <u>+</u> 0.028	0.726 <u>+</u> 0.028
MGT-NN-based	0.804 <u>+</u> 0.036	0.855 <u>+</u> 0.036	0.659 <u>+</u> 0.025	0.692 <u>+</u> 0.029
AINN-based	0.807 <u>±</u> 0.035	0.861 <u>+</u> 0.038	0.662 <u>+</u> 0.027	0.696 <u>+</u> 0.030

Here, we focused on establishing relationships between 451 molecular features and odor sensory categories via an end-452 to-end learning strategy, which is expected to play a decod-453 ing role in bio-olfaction. The MPs and FPs in the present study had poor performance, indicating that focusing solely 455 on physiology-chemical parameters could result in the loss 456 of some critical information related to olfaction. In contrast 457 to relying on tabular features, molecular graph CNNs-based 458 features would be more appropriate for learning useful odor 459 sensory expression. We also considered a transfer learning 460 strategy for dealing with the problem of insufficient train-461 ing samples. Our results confirm that pre-trained CNNs, 462 combined with a 'vanilla' DNN, can effectively establish 463 relationships between molecular features and odor sensory 464 categories. Furthermore, our data suggest that molecular 465 graphic features are optimal for describing odorant protein 466 interactions according to human olfaction. Existing GC/O 467 methods have focused on just 8 ODs in one olfaction sen-468 sory evaluation task, as limited by the odor memory of the 469 assessors [56–58]. Odor analysis precision is also limited by 470 their odor memory. Therefore, the proposed model can apply 471

a reliable references for human panlists to reduce trainning 472 cost. 473

This study has several limitations. First, more attention 474 should be focused on atom interaction-based embeddings, 475 although the AINN in the present study had poor perfor-476 mance. Biological studies have indicated that atom inter-477 actions play a critical role in mammal olfaction [59-61]. 478 The poor accuracy of the AINN was likely caused by in-479 sufficient odorants and an inappropriate modeling approach. 480 Moreover, we did not consider the electronic interactions 481 between atoms, which may be suitable for olfaction sensory 482 encoding. Self-supervised strategies combined with proper 483 modeling techniques and trained with abundant molecules 484 merit further investigation. Furthermore, synergism, odor 485 neutralization and the predicatable of a fragrance mixture 486 has still not been quantified [62-64]. In present study, we 487 focus on single odor molecule smell perception prediction, 488 which is not appropriate for modeling odor synergism and 489 neutralization. For fragrance mixture prediction, mass spec-490 tral would be feasible for model calibration. In the future, 491 we plan to attempt to improve our framework for molecular 492

structure feature extraction using other algorithms, and to 493 try to explore the feasibility of metric modeling using Rie-494 mannian manifolds, such as the Grassmann or symmetric 495 positive definite manifold [65, 66]. We expect that it will 496 be difficult to find a reasonable algorithm when performing 497 metric learning in Riemannian space. However, this is an 498 interesting problem for future investigation. In additon, data 499 fusion would also be an effective strategy for increasing the 500 accurcay of odor category identification models. 501

#### 4. Conclusions 502

The SOR by DNNs via the structure features of odorants 503 has attracted great attention during the past decade. Due 504 to the limited knowledge on binding mechanism between 505 odorant molecules and olfactory receptors, however, it is 506 not sure what kind of structural features play the most 507 important role in smell recognition. Here, we utilized a 508 DNN-based multi-label classifier for odor sensory category identification using various molecular features. Specifically, 510 we examined the possibility of predicting odor categories 511 based on molecular parameters, fingerprints, and graphics, 512 as well as graph attention network embedding and atom 513 interactions. Our results indicated that molecular 2D graphic 514 data were strongly related to sensory information about 515 olfaction. Extensive experiments confirmed that a 'vanilla' 516 DNN with molecular graphic features, extracted via ResNet, 517 was optimal for odor perception category identification. We 518 anticipate that transfer learning is a viable and powerful 519 technique for modeling the relationships between molecular 520 structures and odor perception categories. Our proposed 521 approach could be applied in the development of AI-based 522 odor sensors. We believe that this study is among the first to 523 examine the importance of molecular graphic features when 524 establishing relational models between molecular structures 525 and odor sensory categories. Our approach may not only 526 serve as a realistic solution for introducing AI into olfactom-527 etry, but may also offer a novel perspective for investigating 528 the mechanisms of human olfaction. 529

#### **Declaration of competing interest** 530

The authors declare that they have no known competing 531 financial interests or personal relationships that could have 532 appeared to influence the work reported in this paper. 533

#### CRediT authorship contribution statement 534

Liang Shang: Conceptualization, Methodology, Exper-535 iment, Writing. Chuanjun Liu: Conceptualization, Method, 536 Supervision, Reviewing. Fengzhen Tang: Supervision, Re-537 viewing. Bin Chen: Supervision, Reviewing. Lianqing 538 Liu: Reviewing. Kenshi Hayashi: Reviewing. 539

#### Acknowledgements 540

This research was supported by China Postdoctoral 541 Science Foundation (2021M703399), the National Key Re-542 search and Development Program of China (No. 2020YFB13400), 543

National Nature Science Foundation of China (No. 61803369 544 and 61801400), and JSPS KAKENHI Grant (No. 18H03782). 545

#### **Appendix A. Supplementary data** 546

Supplementary data associated with this article can be 547 found, in the online version, at http://dx.doi.org/. 549

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